

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named  
Inventor : Ye-Yi Wang

Appln. No. : 10/718,138

Filed : November 20, 2003

For : SYSTEM WITH COMPOSIT  
STATISTICAL AND RULES-BASED  
GRAMMAR MODEL FOR SPEECH  
RECOGNITION AND NATURAL  
LANGUAGE UNDERSTANDING

Group Art Unit: 2466

Examiner: Danelle E. Jones

Docket No.: M61.12-0583

**INFORMATION DISCLOSURE STATEMENT**

**Electronically Filed  
November 5, 2007**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450  
Sir:

The patents or publications listed on the enclosed PTO Form-1449 are submitted pursuant to 37 C.F.R. § 1.97. Copies of the patents or publications cited are enclosed.

**TIME OF FILING**

The information disclosure statement is being filed:

1. ☐ 1. Within three month of the filing date of a  
national application other that a Continued  
Prosecution Application (CPA);
  2. ☐ 2. Within three months of the date of entry of the National Stage international  
application;
  3. ☐ 3. Before the mailing date of a first Office Action on the merits; or
  4. ☐ 4. Before the mailing of a first Office Action after the filing of a Request for  
Continue Examination (RCE).
- 
2. ☒ after the time period specified in paragraph 1 above, but before the mailing date of a final  
action under 37 C.F.R. § 1.113 or notice of allowance under 37 C.F.R. § 1.311.

Therefore, in accordance with 37 C.F.R. § 1.97(c), submitted herewith is:

(check either A or B below)

- A. ☐ a statement as specified in 37 C.F.R. § 1.97(e).
- B. ☒ the fee set forth in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c).

3. ☐ after the mailing date of either a final action under 37 C.F.R. § 1.113 or a notice of allowance under 37 C.F.R. § 1.311, whichever occurs first, but before payment of the issue fee. Therefore, Applicant petitions for consideration and submits herewith:

- A. a statement as specified in 37 C.F.R. § 1.97(e);
- B. the petition fee set forth in 37 C.F.R. § 1.17(p).

#### STATEMENT

(only used if No. 2(A) or No. 3 above is checked)

The person(s) signing below certify

(check appropriate paragraph)

☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. 37 C.F.R. § 1.97(e)(1).

OR

☐ that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement. 37 C.F.R. § 1.97(e)(2).

**METHOD OF PAYMENT**

   No fee is required.

  X   Attached is a check in the amount of \$ 180.00 .

The Director is authorized to charge any fee deficiency required by this paper or credit any overpayment to Deposit Account No. 23-1123. A duplicate copy of this communication is enclosed.

Respectfully submitted,

WESTMAN, CHAMPLIN & KELLY, P.A.

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